



Gainesville MTPO

Joint Certification – 2018

February 7, 2018

Contents

| | |
|---|----|
| Purpose | 1 |
| Certification Process..... | 2 |
| Risk Assessment Process | 3 |
| Section 1: MPO Overview | 4 |
| Section 2: Long-Range Transportation Plan (LRTP) | 7 |
| Section 3: Transportation Improvement Program (TIP) | 8 |
| Section 4: Unified Planning Work Program (UPWP) | 9 |
| Section 5: Finances and Invoicing..... | 10 |
| Section 6: Title VI and ADA..... | 13 |
| Section 7: Disadvantaged Business Enterprises | 16 |
| Section 8: District Specific Questions..... | 17 |
| Section 9: Clean Air Act..... | 18 |
| Section 10: Noteworthy Practices & Achievements | 19 |
| Section 11: Recommendations and Corrective Actions..... | 20 |
| Section 12: Attachments..... | 21 |

Purpose

Each year, the District and the MPO must jointly certify the metropolitan transportation planning process as described in [23 C.F.R. §450.336](#). The joint certification begins in January. This allows time to incorporate recommended changes into the Draft Unified Planning Work Program (UPWP). The District and the MPO create a joint certification package that includes a summary of noteworthy achievements by the MPO and, if applicable, a list of any recommendations and/or corrective actions.

The certification package and statement must be submitted to Central Office, Office of Policy Planning (OPP) no later than June 1.

Certification Process

Please read and answer each question using the checkboxes to provide a “yes” or “no.” Below each set of checkboxes is a box where a justification for each answer is to be inserted. The justification given must be in adequate detail to explain the question. Determining “adequate detail” is at the discretion of the MPO Liaison conducting the Certification. **Please add a justification for every answer where a box exists.**

Since all of Florida’s MPOs adopt a new Transportation Improvement Program (TIP) annually many of the questions related to the TIP adoption process have been removed from this certification, as these questions have been addressed during review of the draft TIP and after adoption of the final TIP.

Please attach any correspondence or comments related to the draft or final TIP, and the TIP checklist used by Central Office and the District, as an appendix to this certification report.

As with the TIP, many of the questions related to the Unified Planning Work Program (UPWP) and Long-Range Transportation Plan (LRTP) have been removed from this certification document, as these questions are included in the process of reviewing and adopting the UPWP and LRTP.

If the MPO has adopted a new UPWP or LRTP during the year covered by this certification, please attach any correspondence or comments related to the draft or final UPWP or LRTP, and any related checklists used by Central Office and the District, as an appendix to this certification report.

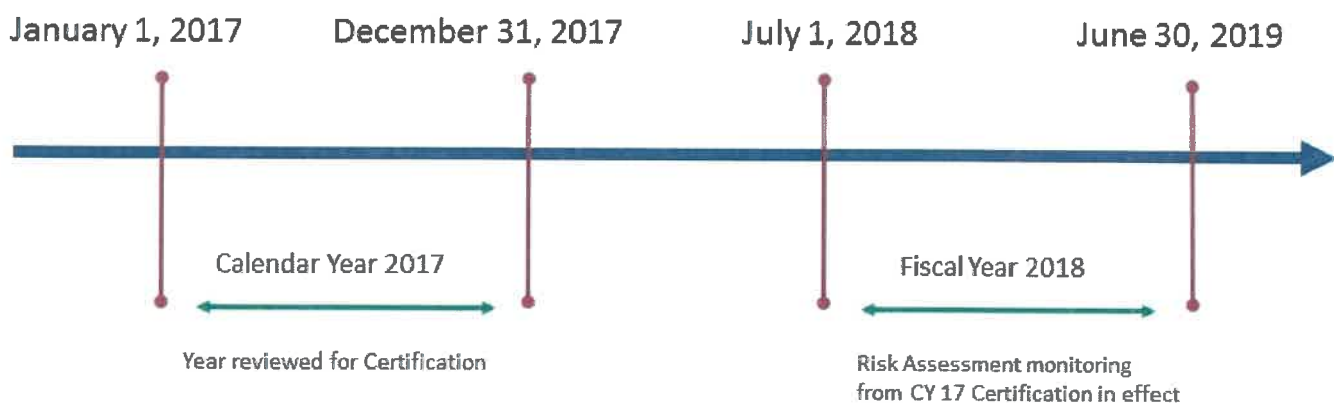
Note: This Certification has been designed as an entirely electronic document and includes interactive form fields and checkboxes. Section 12: Attachments allows you to embed any attachments to the certification, including the [MPO Joint Certification Statements and Assurances](#) document that must accompany the completed Certification report.

Please use the electronic form fields to fill out the Statements and Assurances document, and then print it. Once all of the appropriate parties sign the Statements and Assurances, scan it and attach it to the completed Certification in Section 12: Attachments.

Risk Assessment Process

Section 5: Finances and Invoicing is intended to satisfy the Risk Assessment requirements described in [2 CFR §200.331](#). These questions will be quantified using a point scale to assign a level of risk for each MPO, which will be updated annually during the Joint certification process. The results of the MPO Risk Assessment will determine the minimum frequency of which the MPO's supporting documentation for their invoices is reviewed by FDOT District Liaisons for the upcoming year. The frequency of review, based on the level of risk is below:

| Scale | Risk Level | Frequency of Monitoring |
|-------|------------|-------------------------|
| 0-1 | Low | Annually |
| 2-3 | Moderate | Bi-annually |
| 4-5 | Elevated | Triennially |
| >6 | High | Quarterly |



Risk Assessment: Certification Year vs. Monitoring

Section 1: MPO Overview

1. Does the MPO have up-to-date agreements such as the interlocal agreement that creates the MPO, the intergovernmental coordination and review agreement; and any other applicable agreements? Please list all agreements and dates that they need to be readopted.

[\[23 C.F.R. 450.314 \(a\) and \(e\)\]](#)

Please Check: Yes ☒ No ☐

The Interlocal Agreement for Creation of the Metropolitan Transportation Planning Organization dated 5/26/04 needs to be reaffirmed by 7/9/23. The Intergovernmental Coordination and Review and Public Transportation Coordination Joint Participation Agreement dated 1/26/07 needs to be reaffirmed by 1/26/22.

2. Does the MPO coordinate the planning of projects that cross MPO boundaries with the other MPO(s)?

[\[23 C.F.R. 450.314\(e\)\]](#)

Please Check: Yes ☒ No ☐

Although the Gainesville Metropolitan Area boundary does not abut the Ocala/Marion County Transportation Planning Organization boundary, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area coordinates as needed regarding projects crossing both jurisdictions. In addition, these metropolitan planning organizations have established a regional executive committee for the purpose of coordinating Florida Department of Transportation Transportation Regional Incentive Program grant applications and programming.

3. Does the MPO planning process provide for consideration of the 10 Planning Factors?

[\[23 U.S.C. 134\(h\); 23 C.F.R. 450.306\(a\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area planning process provides for consideration of the 10 Planning Factors as specified in the Unified Planning Work Program.

4. Are the transportation plans and programs of the MPO based on a continuing, comprehensive, and cooperative process?

[\[23 C.F.R. 450.300 \(a\)\]](#)

Please Check: Yes ☒ No ☐

The transportation plans and programs of the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area, including the Transportation Improvement Program, Unified Planning Work Program and Long-Range Transportation Plan, are based on a continuing, comprehensive and cooperative process.

5. Does the MPO have an up-to-date congestion management process?

[\[339.175 \(6\)\(c\)\(1\) F.S.\]](#)

Please Check: Yes ☒ No ☐ N/A ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area implements and monitors a multi-modal transportation planning process to address the congestion management process. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area congestion management process includes the following documents: Mobility Plan, Multimodal Level of Service Report and Transit Ridership Monitoring Report.

6. Does the MPO have a documented Public Participation Plan that defines a process in sufficient detail for members of the public to have reasonable opportunity to participate in the planning process?

[\[23 C.F.R. 450.316 \(a\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has a documented Public Participation Plan that defines a process in sufficient detail for members of the public to have reasonable opportunity to participate in the planning process. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area website has a Google Translate button. All planning documents in Adobe Portable Document Format are accessible on the website in English. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area Public Involvement Plan includes an explanation for providing accommodations for special needs populations.

7. Has the MPO recently reviewed its Public Participation Plan? If so, when?

[\[23 C.F.R. 450.316 \(a\)\(1\)\(x\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area reviewed and approved revisions to its Public Involvement Plan on June 5, 2017.

8. Was the Public Participation Plan made available for public review for at least 45 days before adoption?

[\[23 C.F.R. 450.316\(a\)\(3\)\]](#)

Please Check: Yes ☒ No ☐

A draft copy of the Public Involvement Plan was made available at the business office of the Metropolitan transportation Planning Organization for the Gainesville Urbanized Area and on its website. In addition, it was distributed to Alachua County Library District branch libraries within the Gainesville Metropolitan Area and legal advertisements were placed in The Gainesville Sun on April 20, 2017, Gainesville Guardian on April 20, 2017 and The Independent Florida Alligator on April 19, 2017.

9. Does the MPO utilize one of the methods of procurement identified in [2 C.F.R. 200.320 \(a-f\)?](#)

[\[2 C.F.R. 200.320\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area utilizes several of the methods of procurement from 2 Code of Federal Regulations 200.320 -

- (a) Procurement by micro-purchases for item and services meeting specified criteria;
- (b) Procurement by small purchases for items and services meeting specified criteria;
- (c) Procurement by sealed bids; and
- (d) Procurement by competitive proposals.

10. Does the MPO maintain sufficient records to detail the history of procurement? These records will include, but are not limited to: rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price.

Note: this documentation is required by 2 C.F.R. 200.324 (a) to be available upon request by the Federal awarding agency, or pass-through entity when deemed necessary.

[\[2 C.F.R. 200.318 \(i\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area maintains sufficient records to detail the history of procurement, including the rationale for the method of procurement, selection of contract type, contractor selection or rejection, and the basis for the contract price.

11. Does the MPO have any intergovernmental or inter-agency agreements in place for procurement or use of goods or services?

[\[2 C.F.R. 200.318 \(e\)\]](#)

Please Check: Yes ☐ No ☒

Not Applicable

12. Does the MPO have methods in place to maintain oversight to ensure that consultants or contractors are performing work in accordance with the terms, conditions and specifications of their contracts or work orders?

[\[2 C.F.R. 200.318 \(b\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area regularly maintains oversight to ensure that consultants or contractors are performing work in accordance with the terms, conditions and specifications of their contracts or work orders. The

Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area maintains an agreement for administrative staffing with the North Central Florida Regional Planning Council. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has an Audit Review Committee which provides fiscal oversight.

Section 2: Long-Range Transportation Plan (LRTP)

1. When developing the LRTP, did the MPO provide the required individuals and entities a reasonable opportunity to comment on the proposed plan and program?

[\[23 C.F.R. 450.316 \(a\)\]](#)

Please Check: Yes ☒ No ☐

When developing the Long-Range Transportation Plan, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area provided the required individuals and entities reasonable opportunity to comment on the proposed plan and program through public workshops, public hearings and on its website. This public participation process is documented in the Year 2040 Long-Range Transportation Plan Technical Report 1 Public Involvement. In addition, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area conducted a telephone survey regarding transportation planning-related issues within the Gainesville Metropolitan Area.

2. Is the LRTP published or otherwise readily available for public review?

[\[23 C.F.R. 450.324 \(k\), 23 C.F.R 450.326 \(b\)\]](#)

Please Check: Yes ☒ No ☐

The Long-Range Transportation Plan is readily available for public review at the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area business office, on its website and at the Alachua County Library System branches within the Gainesville Metropolitan Area - Downtown, Millhopper and Tower Road.

3. Did the MPO adopt a new LRTP in the year that this certification is addressing?

Please Check: Yes ☐ No ☒

If yes, please attach any correspondence or comments related to the draft or final LRTP, and the LRTP checklist used by Central Office and the District, as an appendix to this certification report

Section 3: Transportation Improvement Program (TIP)

1. Did the MPO update their TIP in the year that this certification is addressing?

Please Check: Yes ☒ No ☐

If yes, please attach any correspondence or comments related to the draft or final TIP, and the TIP checklist used by Central Office and the District, as an appendix to this certification report

Section 4: Unified Planning Work Program (UPWP)

1. Did the MPO adopt a new UPWP in the year that this certification is addressing?

Please Check: Yes ☐ No ☒

If yes, please attach any correspondence or comments related to the draft or final UPWP, and the UPWP checklist used by Central Office and the District, as an appendix to this certification report

Section 5: Finances and Invoicing

1. Are Federal-aid funds expended in conformity with applicable Federal and State laws, the regulations in 23 C.F.R. and 49 C.F.R., and policies and procedures prescribed by the Division Administrator of FHWA?

Please Check: Yes ☒ No (+1) ☐

Federal-aid funds are expended by the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area in conformity with applicable Federal and State laws, the regulations in 23 Code of Federal Regulations and 49 Code of Federal Regulations, and policies and procedures prescribed by the Division Administrator of the Federal Highway Administration.

2. How often does the MPO currently submit invoices to the District for review and reimbursement?

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area currently submits invoices to the District for review and reimbursement on a quarterly basis.

3. Timeliness of Invoicing

Has the MPO submitted invoices to the District for reimbursement more than 90 days after the end of the invoicing period?

Please Check: Yes (+1 for each late invoice) ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area submitted the invoice to the District for reimbursement more than 90 days after the end of the invoicing period ending 3/31/17.

4. Since the last Joint Certification, have any incurred costs by the MPO been deemed unallowable, not necessary or not reasonable by the District based on invoice or supporting documentation review?

Please Check: Yes ☐ No ☒

Since the last Joint Certification, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has not incurred any costs deemed unallowable, not necessary or not reasonable by the District based on invoice or supporting documentation review.

5. If the answer to question 4 was **yes**, how many incurred costs since the last Joint Certification have been deemed unallowable, not necessary or not reasonable?

+1 for each incurred cost deemed unallowable, not necessary or not reasonable

Not Applicable

6. Has the MPO/TPO shown mismanagement of the UPWP budget, the PL fund balance, or incurred expenses, resulting in emergency payments outside of the contract, or other situations of financial concern?

Please Check: Yes (+1) ☐ No ☒

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has not shown mismanagement of the Unified Planning Work Program, the PL fund balance or incurred expenses that could result in emergency payments outside the contract or other situations of financial concern.

7. Does the MPO's financial management system comply with the requirements set forth in [2 C.F.R. §200.302](#)?

Please Check: Yes ☒ No (+1) ☐

The financial management system of the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area complies with the requirements set forth in 2 Code of Federal Regulations 200.302.

8. Are records of costs incurred under the terms of the MPO Agreement maintained and readily available upon request by FDOT at all times during the period of the MPO Agreement, and for five years after final payment is made?

Please Check: Yes ☒ No (+1) ☐

Records of costs incurred under terms of the Metropolitan Planning Organization Agreement are maintained and readily available upon request by the Florida Department of Transportation at the business office of the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area at all times during the period of the Metropolitan Planning Organization Agreement and for five years after final payment is made.

9. Is supporting documentation submitted by the MPO to FDOT in detail sufficient for proper pre-audit and post-audit monitoring?

Please Check: Yes ☒ No (+1) ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has submitted supporting documentation to the Florida Department of Transportation in detail sufficient for pre-audit and post-audit monitoring.

10. Does the MPO comply with, and require its consultants and contractors to comply with, applicable Federal law pertaining to the use of Federal-aid funds?

Please Check: Yes ☒ No (+1) ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area complies with and requires its consultants and contractors to comply with, applicable Federal law pertaining to use of Federal-aid funds through appropriate compliance provisions in its contracts.

Risk Assessment Point Total: 1

Level of Risk: Low

| Scale | Risk Level | Frequency of Monitoring |
|-------|------------|-------------------------|
| 0-1 | Low | Annually |
| 2-3 | Moderate | Bi-annually |
| 4-5 | Elevated | Triennially |
| >6 | High | Quarterly |

Section 6: Title VI and ADA

1. Does the MPO have a signed Title VI policy statement expressing commitment to non-discrimination?

[\[23 C.F.R. 200.9 \(a\)\(1\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has a signed Title VI policy statement expressing commitment to non-discrimination in its Unified Planning Work Program.

2. Does the MPO take action to correct any deficiencies found by FDOT within a reasonable time period, not to exceed 90 days, in order to implement Title VI compliance?

[\[23 C.F.R. 200.9 \(a\)\(3\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area would take action within 90 days to correct any deficiencies identified by the Florida Department of Transportation. To date, there have been no Title VI deficiencies as determined by the Florida Department of Transportation to be corrected.

3. Does the MPO have a staff person assigned to handle Title VI and ADA-related issues?

[\[23 C.F.R. 200.9 \(b\)\(1\); 49 C.F.R. 27.13\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area designated Michael Escalante, AICP, Senior Planner, as the Title VI Nondiscrimination Liaison to handle Title VI and Americans with Disabilities Act-related issues.

4. Does the MPO have a procedure in place for the prompt processing and disposition of Title VI and Title VIII complaints, and does this procedure comply with FDOT's procedure?

[\[23 C.F.R. 200.9 \(b\)\(3\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has a procedure in place for the prompt processing and disposition of Title VI and Title VIII complaints that is in compliance with the Florida Department of Transportation procedure.

5. Does the MPO collect statistical data (race, color, national origin, sex, age, disability) of participants and beneficiaries of the MPO's programs and activities?

[\[23 C.F.R. 200.9 \(b\)\(4\)\]](#)

Please Check: Yes ☒ No ☐

As needed, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area utilizes United States Census and American Community Survey data for evaluation of its transportation planning process. In addition, its Public Involvement Plan provides for outreach to underserved and underinvolved populations.

6. Does the MPO conduct an annual review of their program areas (for example: public involvement) to determine their level of effectiveness in satisfying the requirements of Title VI?

[\[23 C.F.R. 200.9 \(b\)\(6\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area tracks review of its public involvement process in its Public Involvement Plan Appendix J: Public Involvement Plan Revision Log.

7. Has the MPO participated in any recent Title VI training, either offered by the State, organized by the MPO, or some other form of training, in the past year?

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area participated in the United States Department of Transportation Civil Rights Learning Center 2017 Virtual Symposium webinar series.

8. Does the MPO have a signed [Nondiscrimination Agreement](#), including Title VI Assurances, with the State?

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has a signed Nondiscrimination Agreement included in its Unified Planning Work Program.

9. Do the MPO's contracts and bids include the appropriate language, as shown in the appendices of the [Nondiscrimination Agreement](#) with the State?

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area contracts and bids include appropriate language as shown in the appendices of the Nondiscrimination Agreement with the State.

10. Does the MPO hold its meetings in locations that are ADA accessible?

[\[49 C.F.R. 27.7 \(5\)\]](#)

Please Check: Yes ☒ No ☐

Meetings of the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area are held in the Jack Durrance Auditorium of the Alachua County Administration Building. This facility is Americans with Disabilities Act- compliant for accessibility.

11. Does the MPO take appropriate steps to ensure its communications are available to persons with impaired vision and hearing?

[\[49 C.F.R. 27.7 \(6\)\(c\)\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area takes appropriate steps to ensure its communications are available to persons with impaired vision and hearing. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has reached out to the Center for Independent Living and Division of Blind Services to facilitate participation of persons with impaired vision and/or hearing. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area meetings are televised live on Cox Communications Cable Channel 12 with an option for Second Audio Program (closed-captioning) capability. In addition, recorded meetings of the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area are hosted on the Alachua County website and may be viewed with an option for Second Audio Program (closed captioning) capability.

12. Does the MPO keep on file for one year all complaints of ADA noncompliance received, and for five years a record of all complaints in summary form?

[\[49 C.F.R. 27.121\]](#)

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area would keep all complaints of Americans with Disabilities Act noncompliance on file for one year and a five year summary of all complaints. There have been no complaints regarding noncompliance with the Americans with Disabilities Act.

Section 7: Disadvantaged Business Enterprises

1. Does the MPO have a FDOT-approved Disadvantaged Business Enterprise (DBE) plan?

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area includes its Disadvantaged Business Enterprise Utilization policy statement in its Unified Planning Work Program. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area follows the guidelines outlined in the Florida Department of Transportation Disadvantaged Business Enterprise Program Plan.

2. Does the MPO track DBE participation through the use of the Bid Opportunity List and DBE Participation Statement?

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area tracks Disadvantaged Business Enterprise participation through the use of the Bid Opportunity List for Professional Consultant Services and Contractual Services form that is included in Long-Range Transportation Plan Update Request for Qualifications. Disadvantaged Business Enterprise participation as well as Bidder Opportunity List information is collected and tracked by the Florida Department of Transportation via the Economic opportunity Compliance system

3. Does the MPO report actual payments to DBEs through the Equal Opportunity Compliance (EOC) system?

Please Check: Yes ☐ No ☒

Not Applicable

4. Does the MPO include the DBE policy statement in its contract language for consultants and subconsultants?

Please Check: Yes ☒ No ☐

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area includes its Disadvantaged Business Enterprise Participation Statement in its contract language for consultants and subconsultants. Per Florida Department of Transportation Disadvantaged Business Enterprise Program Plan, the Disadvantaged Business Enterprise policy statement needs to be included in the The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area's contract language for all Florida Department of Transportation-funded projects.

Section 8: District Specific Questions

The District may ask up to five questions at their own discretion based on experience interacting with the MPO that were not included in the sections above. Please fill in the question, and the response in the blanks below. This section is optional, and may cover any topic area of which the District would like more information.

1. Did the Metropolitan Planning Organization process any Transportation Improvement Program amendments in the year this Certification addresses?

Yes, there were two Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area meetings in which the Transportation Improvement Program was amended for a total of nine projects in the year this Certification addresses. Attached is a copy of the Transportation Improvement Program Amendment Log.

2. Has the Metropolitan Planning organization amended its bylaws in the year this Certification addresses?

Yes, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area adopted amendments to the Metropolitan Transportation Planning Organization Bylaws that provide for changes to agreements, documents and statutory citations with a revision to Section D(2)(c) concerning the appointment of the Rural Advisor specifying that the Rural Advisor be an elected official appointed by the Metropolitan Transportation Planning Organization from nominations made by the municipal governing bodies in Alachua County located in whole or part outside of the Gainesville Metropolitan Area consisting of the City of Alachua, City of Archer, City of Hawthorne, City of High Springs, Town of La Crosse, Town of Micanopy, City of Newberry and City of Waldo in the year this Certification addresses. Below is a link to the Bylaws http://ncfrpc.org/mtpo/publications/MTPO_Bylaws/MTPO_Bylaws_dec4_adopted.pdf

3. Did the Metropolitan Planning Organization process any Long-Range Transportation Plan revisions in the year this Certification addresses?

Yes, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area processed a Long-Range Transportation Plan Revision in the year this Certification addresses. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area approved administrative modifications to its Year 2040 Long-Range Transportation Plan to advance the SW 62nd Boulevard Connector project preliminary engineering and right-of-way acquisition into the Existing Plus Committed (Transportation Improvement Program) time period. Attached is a copy of the modified Table 9: Major Projects in the Transportation Improvement Program: Fiscal Years 2015-16 to 2019-20.

4. Question

Not Applicable

5. Question

Not Applicable

Section 9: Clean Air Act

The requirements of [Sections 174](#) and [176 \(c\) and \(d\)](#) of the Clean Air Act.

The Clean Air Act requirements affecting transportation only applies to areas designated nonattainment and maintenance for the National Ambient Air Quality Standards (NAAQS). Florida currently is attaining all NAAQS. No certification questions are required at this time. In the event the Environmental Protection Agency issues revised NAAQS, this section may require revision.

Section 10: Noteworthy Practices & Achievements

One purpose of the certification process is to identify improvements in the metropolitan transportation planning process through recognition and sharing of noteworthy practices. Please provide a list of the MPOs noteworthy practices and achievements below.

The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has been participating in meetings of the Florida Metropolitan Planning Organization Advisory Council Best Practices Committee. The Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area has participated in the Florida Department of Transportation Metropolitan Planning Organization Performance Measures Pilot Program. In addition, the Metropolitan Transportation Planning Organization for the Gainesville Urbanized Area:

1. Updated its Multimodal Level of Service Report for 2016 traffic count data;
2. Updated its and Mobility Plan Status Report for 2016 traffic count data;
3. Updated its Transit Ridership Report; and
4. Continued development of its Access to Essential Services Report.

Section 11: Recommendations and Corrective Actions

Status of Recommendations and/or Corrective Actions from Prior Certifications

Not Applicable

Recommendations

Not Applicable

Corrective Actions

Not Applicable

Section 12: Attachments

Please attach any documents required from the sections above, or other certification related documents here. (Link to [MPO Joint Certification Statements and Assurances](#))

FLORIDA DEPARTMENT OF TRANSPORTATION
**MPO JOINT CERTIFICATION
STATEMENTS & ASSURANCES**

525-010-05
POLICY PLANNING
11/17

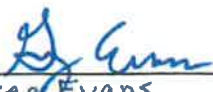
**JOINT CERTIFICATION STATEMENT ON THE METROPOLITAN
TRANSPORTATION PLANNING PROCESS**


Pursuant to the requirements of 23 U.S.C. 134(k)(5) and 23 CFR 450.334(a), the Department and the MPO have performed a review of the certification status of the metropolitan transportation planning process for the Gainesville MTPO with respect to the requirements of:

1. 23 U.S.C. 134 and 49 U.S.C. 5303;
2. Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d-1) and 49 C.F.R. Part 21
3. 49 U.S.C. 5332 prohibiting discrimination on the basis of race, color, creed, national origin, sex, or age in employment or business opportunity;
4. Section 1101(b) of the FAST Act and 49 C.F.R. Part 26 regarding the involvement of disadvantaged business enterprises in USDOT funded projects;
5. 23 C.F.R. Part 230 regarding the implementation of an equal employment opportunity program on Federal and Federal-aid highway construction contracts;
6. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) and the regulations found in 49 C.F.R. Parts 27, 37, and 38;
7. The Older Americans Act, as amended (42 U.S.C. 6101) prohibiting discrimination on the basis of age in programs or activities receiving Federal financial assistance;
8. Section 324 of 23 U.S.C. regarding the prohibition of discrimination on the basis of gender; and
9. Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and 49 C.F.R. Part 27 regarding discrimination against individuals with disabilities.

Included in this certification package is a summary of noteworthy achievements by the MPO, attachments associated with these achievements, and (if applicable) a list of any recommendations and/or corrective actions. The contents of this Joint Certification Package have been reviewed by the MPO and accurately reflect the results of the joint certification review meeting held on February 7, 2018.

Based on a joint review and evaluation, the Florida Department of Transportation and the Gainesville MTPO recommend that the Metropolitan Planning Process for the Gainesville MTPO be Select option.


Name: Grea Evans
Title: District Secretary (or designee)


Name: Harvey M. Budd
Title: MPO Chairman (or designee)

05/02/18
Date

February 26, 2018
Date

FLORIDA DEPARTMENT OF TRANSPORTATION
MPO JOINT CERTIFICATION
STATEMENTS & ASSURANCES

525-010-05
POLICY PLANNING
11/17

DEBARMENT and SUSPENSION CERTIFICATION

As required by the USDOT regulation on Government wide Debarment and Suspension at 49 CFR 29.510

- (1) The Gainesville MTPO hereby certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
 - (b) Have not, within a three-year period preceding this proposal, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction, violation of federal or state antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses listed in paragraph (b) of this certification; and
 - (d) Have not, within a three-year period preceding this certification, had one or more public transactions (federal, state or local) terminated for cause or default.
- (2) The Gainesville MTPO also hereby certifies that if, later, it becomes aware of any information contradicting the statements of paragraphs (a) through (d) above, it will promptly provide that information to the U.S.D.O.T.


Name: Harvey M. Budd
Title: MPO Chairman (or designee)

February 26, 2018
Date

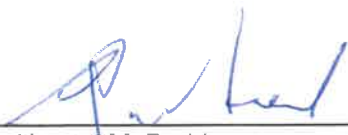
FLORIDA DEPARTMENT OF TRANSPORTATION
MPO JOINT CERTIFICATION
STATEMENTS & ASSURANCES

525-010-05
POLICY PLANNING
11/17

LOBBYING CERTIFICATION for GRANTS, LOANS and COOPERATIVE AGREEMENTS

In accordance with Section 1352 of Title 31, United States Code, it is the policy of the Gainesville MTPO that:

- (1) No Federal or state appropriated funds have been paid or will be paid by or on behalf of the Gainesville MTPO, to any person for influencing or attempting to influence an officer or employee of any Federal or state agency, or a member of Congress or the state legislature in connection with the awarding of any Federal or state contract, the making of any Federal or state grant, the making of any Federal or state loan, extension, continuation, renewal, amendment, or modification of any Federal or state contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The Gainesville MTPO shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants and contracts and subcontracts under grants, subgrants, loans, and cooperative agreement), which exceeds \$100,000, and that all such subrecipients shall certify and disclose accordingly.
- (4) This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.



Name: Harvey M. Budd

Title: MPO Chairman (or designee)

February 26, 2018

Date

FLORIDA DEPARTMENT OF TRANSPORTATION
MPO JOINT CERTIFICATION
STATEMENTS & ASSURANCES

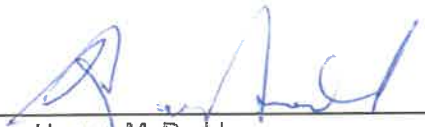
525-010-05
POLICY PLANNING
11/17

DISADVANTAGED BUSINESS ENTERPRISE UTILIZATION

It is the policy of the Gainesville MTPO that disadvantaged businesses, as defined by 49 Code of Federal Regulations, Part 26, shall have an opportunity to participate in the performance of MPO contracts in a nondiscriminatory environment. The objectives of the Disadvantaged Business Enterprise Program are to ensure non-discrimination in the award and administration of contracts, ensure firms fully meet eligibility standards, help remove barriers to participation, create a level playing field, assist in development of a firm so it can compete successfully outside of the program, provide flexibility, and ensure narrow tailoring of the program.

The Gainesville MTPO, and its consultants shall take all necessary and reasonable steps to ensure that disadvantaged businesses have an opportunity to compete for and perform the contract work of the Gainesville MTPO, in a non-discriminatory environment.

The Gainesville MTPO shall require its consultants to not discriminate on the basis of race, color, national origin and sex in the award and performance of its contracts. This policy covers in part the applicable federal regulations and the applicable statutory references contained therein for the Disadvantaged Business Enterprise Program Plan, Chapters 337 and 339, Florida Statutes, and Rule Chapter 14-78, Florida Administrative Code



Name: Harvey M. Budd
Title: MPO Chairman (or designee)

February 26, 2018

Date

FLORIDA DEPARTMENT OF TRANSPORTATION
MPO JOINT CERTIFICATION
STATEMENTS & ASSURANCES

525-010-05
POLICY PLANNING
11/17


TITLE VI/ NONDISCRIMINATION ASSURANCE

Pursuant to Section 9 of US DOT Order 1050.2A, the Gainesville MTPO assures the Florida Department of Transportation (FDOT) that no person shall on the basis of race, color, national origin, sex, age, disability, family or religious status, as provided by Title VI of the Civil Rights Act of 1964, the Civil Rights Restoration Act of 1987, the Florida Civil Rights Act of 1992 and other nondiscrimination authorities be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination or retaliation under any program or activity.

The Gainesville MTPO further assures FDOT that it will undertake the following with respect to its programs and activities:

1. Designate a Title VI Liaison that has a responsible position within the organization and access to the Recipient's Chief Executive Officer.
2. Issue a policy statement signed by the Chief Executive Officer, which expresses its commitment to the nondiscrimination provisions of Title VI. The policy statement shall be circulated throughout the Recipient's organization and to the general public. Such information shall be published where appropriate in languages other than English.
3. Insert the clauses of *Appendices A and E* of this agreement in every contract subject to the Acts and the Regulations
4. Develop a complaint process and attempt to resolve complaints of discrimination against sub-recipients. Complaints against the Recipient shall immediately be forwarded to the FDOT District Title VI Coordinator.
5. Participate in training offered on Title VI and other nondiscrimination requirements.
6. If reviewed by FDOT or USDOT, take affirmative action to correct any deficiencies found within a reasonable time period, not to exceed ninety (90) calendar days.
7. Have a process to collect racial and ethnic data on persons impacted by your agency's programs.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all federal funds, grants, loans, contracts, properties, discounts or other federal financial assistance under all programs and activities and is binding. The person whose signature appears below is authorized to sign this assurance on behalf of the Recipient.



Name: Harvey M. Budd
Title: MPO Chairman (or designee)

February 26, 2018

Date

APPENDICES A and E

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "Contractor") agrees as follows:

- (1) **Compliance with Regulations:** The Contractor shall comply with the Regulations relative to nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (hereinafter, "USDOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this Agreement.
- (2) **Nondiscrimination:** The Contractor, with regard to the work performed during the contract, shall not discriminate on the basis of race, color, national origin, sex, age, disability, religion or family status in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The Contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- (3) **Solicitations for Subcontractors, including Procurements of Materials and Equipment:** In all solicitations made by the Contractor, either by competitive bidding or negotiation for work to be performed under a subcontract, including procurements of materials or leases of equipment; each potential subcontractor or supplier shall be notified by the Contractor of the Contractor's obligations under this contract and the Regulations relative to nondiscrimination on the basis of race, color, national origin, sex, age, disability, religion or family status.
- (4) **Information and Reports:** The Contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the *Florida Department of Transportation, the Federal Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration* to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a Contractor is in the exclusive possession of another who fails or refuses to furnish this information the Contractor shall so certify to the *Florida Department of Transportation, the Federal Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration* as appropriate, and shall set forth what efforts it has made to obtain the information.
- (5) **Sanctions for Noncompliance:** In the event of the Contractor's noncompliance with the nondiscrimination provisions of this contract, the *Florida Department of Transportation* shall impose such contract sanctions as it or the *Federal Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration* may determine to be appropriate, including, but not limited to:
 - a. Withholding of payments to the Contractor under the contract until the Contractor complies, and/or
 - b. Cancellation, termination or suspension of the contract, in whole or in part.
- (6) **Incorporation of Provisions:** The Contractor shall include the provisions of paragraphs (1) through (7) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto. The

FLORIDA DEPARTMENT OF TRANSPORTATION
**MPO JOINT CERTIFICATION
STATEMENTS & ASSURANCES**

525-010-05
POLICY PLANNING
11/17

Contractor shall take such action with respect to any subcontract or procurement as the Florida Department of Transportation, the Federal Highway Administration, Federal Transit Administration, Federal Aviation Administration, and/or the Federal Motor Carrier Safety Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. In the event a Contractor becomes involved in, or is threatened with, litigation with a sub-contractor or supplier as a result of such direction, the Contractor may request the Florida Department of Transportation to enter into such litigation to protect the interests of the Florida Department of Transportation, and, in addition, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

- (7) **Compliance with Nondiscrimination Statutes and Authorities:** Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21; The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects); Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex); Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27; The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age); Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex); The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not); Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 -- 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38; The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex); Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations; Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100); Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq)